

CLIENT ASSESSMENT SURVEY

To help us clearly understand your current situation, rate your reactions to each phrase. Decide where you lie on the scale from 1 to 10. Add up your total from each row, then total the score column to get your score. One of our Financial Advisors will discuss the significance of your score.

NAME _____ DATE _____

NAME _____

I do not have a clear vision of my future	1 2 3 4 5 6 7 8 9 10	I have a clear, well-defined vision of my future
I do not have clear financial goals	1 2 3 4 5 6 7 8 9 10	I have clear financial goals
I do not have a strategy to achieve my goals	1 2 3 4 5 6 7 8 9 10	I have a strategy to achieve my goals
I do not have an action plan to achieve my goals	1 2 3 4 5 6 7 8 9 10	I have an action plan to achieve my goals
My investments are scattered, disorganized and poorly mixed	1 2 3 4 5 6 7 8 9 10	My investment portfolio is organized and properly diversified
I have not reviewed all of the tools which could help me achieve my vision	1 2 3 4 5 6 7 8 9 10	I have reviewed all of the tools which could help me achieve my vision
I do not have a plan to guard against losses from illness, disability or death	1 2 3 4 5 6 7 8 9 10	I have a plan to guard against losses from illness, disability or death
I do not review my vision, plan or progress on a regular basis	1 2 3 4 5 6 7 8 9 10	I review my vision, plan or progress on a regular basis
My financial advisors work in isolation from each other	1 2 3 4 5 6 7 8 9 10	My financial advisors work as a team to help me achieve our goals
I do not have as much confidence in the future as I would like	1 2 3 4 5 6 7 8 9 10	I have a strong sense of confidence about my future

COLUMN TOTALS ► ● ● ● ● ● ● ● ● ● ● TOTAL SCORE _____



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